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TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	10/665,097	
	Filing Date	September 16, 2003	
	First Named Inventor	John Barrus	
	Group Art Unit Number	2173	
	Examiner Name	not yet known	
Total Number of Pages in This Submission	12*	Attorney Docket Number	20412-07972

ENCLOSURES (*check all that apply*)

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REMARKS: *Page count does not include cited references.

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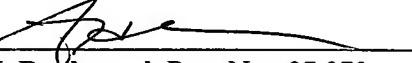
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: John Barrus, Stephen Savitsky and Kurt Wesley Piersol
APPLICATION NO.: 10/665,097
FILING DATE: September 16, 2003
TITLE: Action Stickers For Identifying And Processing Stored Documents
EXAMINER: not yet known
GROUP ART UNIT: 2173
ATTY. DKT. NO.: 20412-07972

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Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(c); OR
 - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
OR
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
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in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
JOHN BARRUS ET AL.

Dated: JAN 10/05

By: 

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet

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of

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		<i>Complete if Known</i>	
Application No.		10/665,097	
Filing Date		September 16, 2003	
First Named Inventor		John Barrus	
Art Unit		2173	
Examiner Name		not yet known	
Attorney Docket Number		20412-07972	

U.S. PATENT DOCUMENTS

		Document No.		
Examiner Initials*	Cite No. ¹	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document

FOREIGN PATENT DOCUMENTS

		Foreign Patent Document			
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OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

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	C1	Acrobat Reader Version 2.0 (software application), Adobe Systems Incorporated, screenshots Figures 1-10 (1994).	
	C2	Adobe Acrobat Reader 3.0 screen dumps (fig. 1-3), 1996.	
	C3	Adobe Premiere (video editing software) from http://www.adobe.com (2002)	
	C4	Adobe Systems, Inc., "Adobe Photoshop 4.0 User Guide for Macintosh and Windows," 1996, Title Page, Copyright Page, Chap. 2, pp. 30-31.	
	C5	Apple Macintosh system 7 reference manual, pages 30-31 (1991)	
	C6	BALL, THOMAS et al., "Software Visualization in the Large," IEEE Computer, Vol. 29, No. 4, April 1996, pp. 33-43, http://www.computer.org/computer/co1996/r4033abs.htm .	
	C7	BEGOLE et al., "Flexible Collaboration Transparency," doc. ID: ncstri.vattach_cs/TR-98-11, Virginia Polytechnic Institute and State University, 1998.	

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	C8	BEGOLE et al. "Flexible Collaboration Transparency: Supporting Worker Independence In Replicated Application-Sharing Systems," ACM Transactions On Computer-Human Interaction, June 1999, pp. 95-132, Vol. 6, No. 2.	
	C9	BEGOLE et al., "Supporting Worker Independence In Collaboration Transparency," technical report from the Computer Science Department of Virginia Tech, doc. ID: ncstr.vatech_cs/TR-98-12.	
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	C12	BORECZKY, J. et al., "An Interactive Comic Book Presentation for Exploring Video," Conference on Human Factors in Computing Systems (CHI 2000), ACM Press, pp. 185-192, 2000.	
	C13	BOYKIN et al., "Improving Broadcast News Segmentation Processing," IEEE Multimedia '99, 1999.	
	C14	BOYKIN et al., "Machine Learning of Event Segmentation for News on Demand," Communications of the ACM, 43:35-41, 2000.	
	C15	BRANDOW et al., "Automatic Condensation of Electronic Publications by Sentence Selection," Information Processing and Management, 31(5):875-885, 1995.	
	C16	BROWN et al., "Automatic Content-Based Retrieval of Broadcast News," ACM Multimedia 5, San Francisco, CA, 1995.	
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	C19	CHEN et al., "Emotion Recognition from Audiovisual Information," Proc. IEEE Workshop on Multimedia Signal Processing, Los Angeles, CA, USA, pp. 83-88, 1998.	
	C20	CHEN et al., "ViBE: A Video Indexing and Browsing Environment," Proceedings of the SPIE Conference on Multimedia Storage and Archiving Systems, September 20-22, 1999, Boston 3846:148-164, 1999.	

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	C21	CHIU et al., "NoteLook: Taking Notes in Meetings with Digital Video and Ink," ACM Multimedia 99 Orlando, 1999.	
	C22	CHIU et al., "Automatically Linking Multimedia Meeting Documents by Image Matching," Proceedings of Hypertext '00, ACM, New York, pp. 244-245, 2000.	
	C23	CHRISTEL et al., "Evolving Video Skims into Useful Multimedia Abstractions," Proceedings of the CHI '98 Conference on Human Factors in Computing Systems, pp. 171-178 Los Angeles, CA, April 1998.	
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	C26	DIMITROVA et al., "Video Keyframe Extraction and Filtering: A Keyframe is not a Keyframe to Everyone," CIKM 1997:113-120, 1997.	
	C27	DONATO et al., "Classifying Facial Actions," IEEE Trans. on Pattern Analysis and Machine Intelligence, 21:974-989, 1999.	
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	C29	ESSA et al., "Coding Analysis Interpretation and Recognition of Facial Expressions," IEEE Trans. on Pattern Analysis and Machine Intelligence, 19:757-763, 1997.	
	C30	"Facelt Face Recognition Technology Frequently Asked Technical Questions," Visionics Corporation, 36 pages.	
	C31	"Flexible JAMM Screenshots," downloaded from internet site http://simon.cs.ct.edu/jamm 5/4/00.	
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	C33	FURUI et al., "Japanese Broadcast News Transaction and Information Extraction," Communications of the ACM, 43(2):71-73, Feb. 2000.	

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	C34	GAUVAIN et al., "Transcribing Broadcast News for Audio and Video Indexing," Communications of the ACM, 43:64-70, 2000.	
	C35	GIBBON, David C., "Generating Hypermedia Documents from Transcriptions of Television Programs Using Parallel Text Alignment," Handbook of Internet and Multimedia Systems and Applications, CRC Press, 1998.	
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	C38	GREENBERG, Saul, "A Fisheye Text Editor For Relaxed-WYSIWIS Groupware," ACM SIGCHI'96 Conference on Human Factors in Computing System, Companion Proceedings, 1996, pp. 212-213.	
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	C40	HAUPTMANN et al., "Story Segmentation and Detection of Commercials in Broadcast News Video," Advances in Digital Libraries Conference, Santa Barbara, CA April 22-23, 1998.	
	C41	HAUPTMANN et al., "Text, Speech and Vision for Video Segmentation: the Informedia Project," Proc. AAAI Fall Symp. Computational Models for Integrating Language and Vision, Boston, MA, Nov. 10-12, 1995.	
	C42	HE et al., "Comparing Presentation Summaries: Slides vs. Reading vs. Listening," Conference on Human Factors in Computing Systems (CHI 2000), April 1-6, 2000.	
	C43	HEARST et al., "TileBars: Visualization of Term Distribution Information in Full Text Information Access," Proceedings of the ACM SIGCHI Conference on Human Factors in Computing Systems (CHI), Denver, CO., pp/ 1-8, May 1995, http://www.acm.org/sigchi/chi95/Electronic/documents/papers/mah_bdy.htm .	
	C44	HECHT, "Embedded Data Glyph Technology for Hardcopy Digital Documents," Proc. SPIE 2171:341-352, 1994.	
	C45	HECHT, "Printed Embedded Data Graphical User Interfaces," IEEE Computer, March 2001.	

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	46	HILL et al., "Edit Wear and Read Wear," Computer Graphics and Interactive Media Research Group, pp. 3-9, May 1992.	
	C47	HU et al., "Multimedia Description Framework (MDF) for Content Descriptions of Audio/Video Documents," Fourth ACM Conference on Digital Libraries (DL '99), August 11-14, 1999.	
	C48	IBM Technical Disclosure Bulletin, "Quick Selection of Window Environment Coordinates," 35:57-60, ISS # 4B, 1992.	
	C49	IOFFE et al., "Finding People by Sampling," Proc. International Conference on Computer Vision, pp. 1092-1097, 1999.	
	C50	JANG et al., "Improving Acoustic Models With Captioned Multimedia Speech," IEEE, 1999, pp. 767-771.	
	C51	JIN et al., "Topic Tracking for Radio, TV Broadcast, and Newswire," Proceedings of the DARPA Broadcast News Workshop, Feb. 28-Mar. 3, 1999, Herndon, VA.	
	C52	KOMLODI et al., "Key Frame Preview Techniques for Video Browsing," Proceedings of the 3 rd ACM International Conference on Digital Libraries, June 23-26, 1998, Pittsburgh, pp. 118-125, 1998.	
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	C55	ANGLEY, P., "Induction of Selective Bayesian Classifiers," Proceedings of 10 th National Conference on Uncertainty in Artificial Intelligence, pp. 400-406, 1994.	
	C56	LI et al., "Automatic Text Detection and Tracking in Digital Video," IEEE Transactions on Image Processing – Special Issue on Image and Video Processing for Digital Libraries, 9:147-156, 2000.	
	C57	LI et al., "Text Extraction and Recognition in Digital Video," Proceedings of Third IAPR Workshop on Document Analysis Systems, pp. 119-128, 1998.	
	C58	LI et al., "VISION: A Digital Video Library," Proceedings of the 1 st ACM International Conference on Digital Libraries, pp. 19-27, 1996.	
	C59	LIANG et al., "Practical Video Indexing and Retrieval System," SPIE 3240:294-303, 1988.	

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	C60	LIENHART et al., "On the Detection and Recognition of Television Commercials," Proc. IEEE Conf. On Multimedia Computing and Systems, Ottawa, Canada, pp. 509-516, June 1997.	
	C61	MA et al., "An Indexing and Browsing System for Home Video," Invited paper, EUSIPCO '2000, 10 th European Signal Processing Conference, 5-8, Sept. 2000, Tampere, Finland.	
	C62	MANBER, U., "The Use of Customized Emphasis in Text Visualization," Proceedings of 1997 IEEE Conf. On Information Visualization, pp. 132-138, Aug. 27-29, 1997, London, England.	
	C63	MAYBURY, "News on Demand," Communications of the ACM, 43:33-34, 2000.	
	C64	MAYBURY et al., "Multimedia Summaries of Broadcast News," International Conference on Intelligent Information Systems, 1997, pp. 442-449.	
	C65	MAYBURY, M. et al., "Segmentation, Content Extraction and Visualization of Broadcast News Video Using Multistream Analysis," AAAI Paper, 1997.	
	C66	MERIALDO et al., "Automatic Construction of Personalized TV News Programs," Seventh ACM International Multimedia Conference, Orlando, November 1999.	
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STATEMENT BY APPLICANT**

Sheet

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of

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<i>Complete if Known</i>	
Application No.	10/665,097
Filing Date	September 16, 2003
First Named Inventor	John Barrus
Art Unit	2173
Examiner Name	not yet known
Attorney Docket Number	20412-07972

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
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